

Utrecht University Open Access Monitor

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OA categories

Utrecht University builds upon the [definition framework](#) which was commissioned by the UNL for OA monitoring at Dutch universities in 2017.

The national framework distinguishes three OA categories. In addition to this framework the Utrecht University Open Access Monitor makes a distinction within Gold DOAJ classified between Non-APC Gold and APC Gold. The result is four categories of open access:

- **Non APC-Gold, DOAJ classified OA**
An OA article in an OA journal listed in the DOAJ, without APC charges to (any) author¹
- **APC-Gold, DOAJ classified OA**
An OA article in an OA journal listed in the DOAJ, with APC charges to authors
- **Hybrid (that is Gold, not DOAJ classified OA)**
An OA article in a closed/restricted journal or a journal not listed in the DOAJ
- **Green only OA**
Article is only OA via a trusted repository

All articles that do not match one of the above criteria are considered non-OA (Category Closed). In the expanded version of the OA monitor we identify some subsets as described below.

Publication Types

The monitoring, and its framework, has been developed for monitoring peer reviewed journal articles. Data are also available for non-peer reviewed journal articles, but the methodology of assessing OA availability has not been optimized for these publication types.

- Data available for: All publications of type 'Contribution to journal'.
- Default /recommended view filtered on:
 - Publication Category = Academic
 - Pubstatus = Published
 - Workflow status = Approved/For revalidation/validated
 - Peer reviewed = J

¹ The DOAJ also registers “other charges”. Journals that don’t have APC charges, but do have other charges are considered Non-APC Gold in our monitor.

OA data sources

The UU Open Access Monitor retrieves OA data for peer reviewed journal articles from five different sources:

- **Directory of Open Access Journals (DOAJ)**
Matching by ISSN via API.
- **Unpaywall (UPW)**
Matching by DOI via API
(Unpaywall data is no being longer used in the labelling of articles)
- **OpenAlex**
Matching by DOI via API
- **UNL read&publish deals article list**
Matching by DOI with excel file provided by UKBSIS/UBU (monthly)
- **PURE**
OA status based on combination of fields in PURE:
 - Library Keyword = Taverne + PURE OA status = Open
 - File uploaded in PURE + PURE OA status = Open

Labelling peer reviewed articles

All articles are labeled based on the following subsequent steps:

Step	Source	VALUE	Category
1	DOAJ	TRUE + DOAJ registration year \leq PURE pubyear + Has APC=False	Non-APC Gold
2	DOAJ	TRUE + DOAJ registration year \leq PURE pubyear	APC Gold
3	OpenAlex	Oa_status=diamond + Primary location: is_in_doaj=true	Non-APC Gold
4	OpenAlex	Oa_status=gold + Primary location: is_in_doaj=true	APC Gold
5	UNL	Hybrid open access OR Gold open access	Hybrid
6	OpenAlex	Oa_status=diamond	Hybrid
7	OpenAlex	Oa_status=gold	Hybrid
8	OpenAlex	Oa_status=hybrid	Hybrid
9	OpenAlex	Oa_status=green	Green
10	PURE	Library Keyword = Taverne + File uploaded + OA status = Open	Green
11	PURE	File uploaded + OA status = Open	Green
12	None of the above	Closed	

In the expanded OA report we also report on the following subcategories:

Source	VALUE	Subcategory	Remarks
UNL	Hybrid open access OR Gold open access	UNL	Published OA as part of a UNL/NFU OA agreement, either Gold or Hybrid
PURE	Library Keyword = Taverne + OA status = Open	Taverne	OA available in the UU repository as part of the You Share, We Take Care service.

Based on the UNL framework the following articles are considered NOT to be open access and therefore labeled as D: Closed:

- Articles that are labeled Bronze OA in OpenAlex (free access without an open access license) and therefore not guaranteed to be permanently available. Unless these articles are also open access available in a repository.
- Articles that are under embargo at the date of the OA monitor, until the embargo is lifted.
- Articles that are not available in a trusted repository and therefore not listed in Unpaywall (<https://unpaywall.org/faq>, *is Unpaywall legal*) and through which in OpenAlex.